

Roumell Asset Management Privacy Policy

We collect nonpublic personal information about you from the following sources:

- Information we receive from you on applications, tax documents and other forms; and
- Information about your transactions with us.

We do not disclose any nonpublic personal information about you to anyone, other than in our business relationships with custodians, selected third party vendors providing portfolio management services and when required by law.

If you decide to close your personal account(s) or become an inactive customer, we will adhere to the privacy policies and practices as described in this notice.

We restrict access to your account information to those employees who need to know that information to provide services to you.

Your confidence in Roumell Asset Management is very important and we want you to know that your personal and account information is safe. If you have any questions or concerns, please contact us.